

AUDIT AND ACCOUNTS COMMITTEE
25 NOVEMBER 2020

INTERNAL AUDIT PROGRESS REPORT

1.0 Purpose of Report

1.1 The purpose of the internal audit progress report (Annex A) is to provide a summary of Internal Audit work undertaken during 2020/21 against the agreed audit plan and any remaining reports from 2019/20.

2.0 Background Information

2.1 The Audit Plan for 2020/21 was agreed at the Audit and Accounts Committee in February 2020 and throughout the year reports on the progress made and changes to the plan are brought to this Committee.

2.2 The report contains details of actions within reports which have a Limited assurance rating, Managers will be in attendance to provide an update on the implementation of actions and respond to any questions.

2.3 The report also contains details of outstanding recommendations including those where the Committee is asked to approve an extension.

3.0 Proposals

3.1 To receive and comment upon the latest Internal Audit Progress Report which covers the period up to 31 October 2020.

4.0 Equalities Implications

4.1 Equality impact assessments are produced by each of the service areas that are audited, and where appropriate these will be taken into account during the course of an audit.

5.0 Community Plan- alignment to objectives

5.1 The Internal Audit Plan underpins the delivery of the Community Plan. Through assurance gained from Internal Audit, Council can be satisfied that internal processes are working in a controlled manner, achieving the aims and objectives set out within the Community Plan.

6.0 Financial Implications (FIN20-21/4912)

6.1 Financial implications that arise within each of the individual audits will be highlighted during the review and brought to Members attention within the recommendations and management actions.

7.0 RECOMMENDATION(S)

7.1 **That the Audit and Accounts Committee consider and comment upon the latest internal audit progress report and approve the extensions to the implementation dates.**

Background Papers

Nil.

For further information please contact Lucy Pledge on 01522 553692.

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