

Newark & Sherwood District Council Compliance Report

2024-25 Quarter 4

Introduction

Compliance refers to the alignment of a built asset with the relevant rules, regulations, and codes. This includes the products and materials incorporated into the building, as well as the way in which they are assembled and constructed. It is important that we continuously review our compliance to identify and rectify any issues identified to keep the buildings users and occupiers safe. This report provides assurance that the Council is compliant in its three key areas of corporate compliance, housing (tenant) compliance and green space compliance.

Corporate Compliance

Corporate compliance refers to the compliance of the 25 commercial sites owned by the Council. We provide assurance on all Council-owned buildings, whether directly operated or leased to third party (such as the Gilstrap) as the maintenance of the built asset remains the responsibility of the owner.

| | |
|---|--------------------------------------|
| Blidworth Leisure Centre | Newark Beacon Innovation Centre |
| Bridge Community Centre | Newark Castle |
| Buttermarket Shopping Centre | Newark Lorry Park & The Ranch Café |
| Castle House | Newark Palace Theatre |
| Church Farm Business Centre | Newark Sports And Fitness Centre |
| Brunel Drive Depot - 4 Buildings (A, B, C, D) | Ollerton Housing Office |
| Dukeries Leisure Centre | Queens Sconce Visitor Centre |
| Farrar Close | Sherwood Forest Arts & Crafts Centre |
| Gilstrap Centre Public Toilet | Southwell Leisure Centre |
| Hawtonville Community Centre | The Tom Mann Pavilion |
| National Civil War Centre | Vicar Water Visitor Centre |

We provide assurance that the asset is compliant in 6 key areas.

- Legionella
- Asbestos
- Fire
- Gas
- Electrics
- Lift inspections

Performance Indicators for Corporate Compliance for Q4 2024/25

| Indicator | Previous Quarter | Current Quarter | Target |
|--|------------------|-----------------|--------|
| % Completed Legionella tests (due this quarter) | 100% | 100% | 100% |
| % Completed Legionella Risk Assessments (due this quarter) | 100% | 100% | 100% |
| % Completed Asbestos Condition Surveys (annual) | 100% | 100% | 100% |
| % Completed Asbestos Annual Reviews (due this quarter) | 100% | 100% | 100% |
| % Completed Fire Risk Assessments (due this quarter) | 100% | 100% | 100% |
| % Completed Gas Boiler Services (due this quarter) | 100% | 100% | 100% |

| | | | |
|--|------|------|------|
| % Completed Electrical Inspection Reports (due this quarter) | 100% | 100% | 100% |
| % Completed Lift Inspections (due this quarter) | 100% | 100% | 100% |

Exploring Our performance

All compliance indicators for commercial buildings have consistently achieved a 100% return over the past year

Performance Housing Compliance

Corporate compliance refers to the compliance of our built assets owned by the HRA aka our social tenancy estate. There are 5,749 Residential/Domestic sites and we provide assurance that they are compliant in 6 key areas. As with our corporate estate, most of these sites are tenanted but the maintenance of the built asset remains the responsibility of the Council.

We provide assurance that the residential/domestic site is compliant in 6 areas.

- Legionella
- Asbestos
- Fire
- Gas
- Electrics
- Lifts

Performance Indicators for Housing Compliance for 2024/25 Quarter 4

| Indicator | Previous Quarter | Current Quarter | Target |
|--|------------------|-----------------|--------|
| % Completed Legionella tests (due this quarter) | 58% | 100% | 100% |
| % Completed Legionella Risk Assessments (due this quarter) | 65% | 79% | 100% |
| % Completed Asbestos Condition Surveys (annual) | 100% | 100% | 100% |
| % Completed Asbestos Annual Reviews (due this quarter) | 100% | 100% | 100% |
| % Completed Fire Risk Assessments (due this quarter) | No data rec'd | 100% | 100% |
| Number of outstanding RED Fire Risk Assessment actions | 8 | 318 | Trend |
| Number of outstanding AMBER Fire Risk Assessment actions | 99 | 115 | Trend |
| % Completed Gas Boiler Services (due this quarter) | 98.35% | 99.4% | 100% |
| % Completed Electrical Inspection Reports (due this quarter) | 99.5% | 99.6% | 100% |
| % Completed Lift Inspections (due this quarter) | 100% | 100% | 100% |

Exploring Our performance

- Legionella testing achieved full compliance this quarter, rising from 58% to 100%, while risk assessments improved from 65% to 79%, though still below target.
- Gas boiler servicing remains slightly below the 100% target, currently at 99.4%. Access to properties continues to be the primary challenge. Legal proceedings are underway to gain entry where necessary, and weekly meetings with contractors are ongoing to monitor progress and drive further improvements in service delivery.
- Electrical inspection reports remain just below the 100% target at 99.6%; although the contractor had previously fallen behind schedule, performance has improved, with efforts underway to get six months ahead of the programme and achieve full compliance
- A sharp increase in outstanding RED Fire Risk Assessment actions, rising from 8 to 318, requires urgent attention.

Green Space Compliance

Green space compliance refers to the compliance of our green spaces and play parks. The Council and the HRA own several parks and play areas, and we have a responsibility to ensure the safety of park users. Therefore, we inspect green spaces/parks and play parks to ensure they are safe to use.

| Indicator | Previous Quarter | Current Quarter | Target |
|---|------------------|-----------------|--------|
| % Completed Play Park Inspections HRA Land (due this quarter) | 100% | 100% | 100% |
| % Completed Play Park Inspections GF Land (due this quarter) | 100% | 100% | 100% |

Risk

Alongside ensuring compliance we also monitor risk. This means we proactively identify potentially significant risks and implementing suitable control strategies helps prevent these risks from being realised, or this is not possible, mitigate to a tolerable level. This is done in two ways.

1. **Operational Risks.** These are developed and managed by Business Managers and capture localised risks. These risks are reviewed every quarter and exceptions are reported to SLT and the Risk Management Group on a quarterly basis.
2. **Strategic Risks.** These are developed and managed by Directors and are significant risks faced by the Council which have the potential to prevent it from achieving its key/agreed objectives and/or have the potential to halt or significantly interfere with the ability of the Council to achieve its core objectives, priorities and/or ambitions. These risks are also reviewed every quarter and exceptions are reported to SLT and the Risk Management Group on a quarterly basis as well as Audit and Governance Committee on a bi-annual basis.