

AUDIT & GOVERNANCE COMMITTEE
MEETING DATE 27th SEPTEMBER 2023

WORK PLAN

Meeting date at which action to be undertaken	Subject and Brief Description	Who will present the report	Intended Outcome
22 November 2023	Internal Audit Progress Report 2023/24	Fiona Roe/Phil Lazenby (TIAA Ltd)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
	Treasury Performance half-yearly report	Andrew Snape	Gain assurance that treasury management activities are in line with the current Treasury Management Strategy
	Counter-Fraud Activity Report	Nick Wilson	Gain assurance that counter-fraud activity is appropriately targeted and effective
	Budget Monitoring to 31 March 2024 as at 30 June 2023	Nick Wilson	To show performance against the approved estimates of revenue expenditure and income; report on variations to the Capital Programme for approval (by Cabinet).
	Risk Management report	Richard Bates	Gain assurance that appropriate risk management arrangements are in place
	Annual Audit Report 2022/23	Mark Surridge (Mazars)	Gain assurance on the Council's Statement of Accounts and arrangements for achieving Value for Money
	Housing Gas Compliance	Suzanne Shead	
	Public Speaking Report	Lisa Hughes	
December	Training session with Link Group regarding Treasury Management	Andrew Snape/Rob Baxter (Link Group)	To gain an understanding of Treasury Management and how this relates to the Committees responsibilities

Change of date - 21 February 2024	Annual Review of the Council's Whistleblowing Policy	Sue Bearman	A summary of actions taken will be reported back to Committee as an annual report
	Annual Review of the Gifts and Hospitality Protocol and Policy	Sue Bearman	An annual review of the operation of the Council's Gifts and Hospitality arrangements
	GF Budget 2024/25	Nick Wilson	To ensure that the Council to set a balanced budget over the forthcoming year
	Medium Term Financial Plan 2024/25-2027/28	Nick Wilson	To gain assurance that the Council's financial position over the medium term is sustainable
	Draft Treasury Strategy 2024/25	Andrew Snape	Gain assurance that risks in relation to the Council's treasury management activities are to be managed in accordance with need and the Council's risk appetite
	Draft Capital Strategy 2024/25	Andrew Snape	Outlines the principles and framework that shape the Council's capital proposals
	Draft Investment Strategy 2024/25	Andrew Snape	The investment strategy is a new report for 2019/20, meeting the requirements of statutory guidance issued by the government.
	Review of significant internal control issues highlighted in the Annual Governance Statement	Nick Wilson	Gain assurance that the Council is making progress on any governance issues that were raised in the AGS
	Internal Audit Progress Report 2023/24	Fiona Roe/Phil Lazenby (TIAA Ltd)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
24 April 2024	Annual Review of the Council's Constitution	Sue Bearman	Review the Council's Constitution
TBC	Review of the Council's Governance Arrangements	Sue Bearman	Review the Council's Governance Arrangements – Governance Review Working Party

TBC	A review of the process for dealing with Code of Conduct Complaints, including a review of the role of the Independent Persons	Sue Bearman	
September 2024	LGA Newark and Sherwood District Council Cyber 360 Annual Report	Dave Richardson	